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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Judith E. Schwabe

Assignee: Sun Microsystems, Inc.

Title: REMOTE INCREMENTAL PROGRAM BINARY COMPATIBILITY
VERIFICATION USING API DEFINITIONS

Serial No.: 09/661,684 Filed: September 14, 2000

Examiner: Wei Y. Zen Group Art 2122
Unit:

Docket No.: P-4174

Monterey, CA
July 28, 2005

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER §1.97

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) wish to call the following documents (a copy of each non-US Patent document is enclosed) to the attention of the Examiner.

At a minimum, this Information Disclosure Statement should be placed in the file pursuant to 37 C.F.R. § 1.97(i).

U.S. PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | NAME |
|----|-----------------|---------|--------------------|
| 1) | 5,907,704 | 05/1999 | Gudmundson et al. |
| 2) | 6,427,227 | 07/2002 | Chamberlain |
| 3) | 6,434,744 | 08/2002 | Chamberlain et al. |
| 4) | 6,363,488 | 03/2002 | Ginter et al. |
| 5) | 5,748,980 | 05/1998 | Lipe et al. |
| 6) | 5,724,272 | 03/1998 | Mitchell et al. |
| 7) | 6,748,555 | 06/2004 | Teegan et al. |

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| 8) | 6,742,177 | 05/2004 | Dorak et al. |
| 9) | 6,721,941 | 04/2004 | Morshed et al. |
| 10) | 6,708,324 | 03/2004 | Solloway et al. |

OTHER DOCUMENTS

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| 1) | Czajkowski, Grzegorz et al., "JRes: A Resource Accounting Interface for Java", pages 21-35, ACM, Vancouver, B.C., 1998. |
| 2) | Ostroff, Jonathan S., "Automated Modular Specification and Verification of Real-Time Reactive Systems", pages 108-121, IEEE, 1995. |
| 3) | Abdulla, Parosh et al., "Verifying Programs with Unreliable Channels", pages 160-170, IEEE, 1993. |

A PTO form 1449 listing these documents is enclosed.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The Commissioner is hereby authorized to charge any fees required for consideration of this Information Disclosure Statement, and to credit any overpayment of fees to Deposit Account No. 50-0553.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 28, 2005.

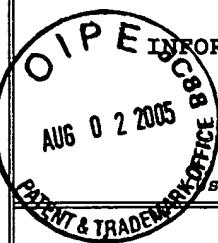
Respectfully submitted,



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Reg. No. 32,899
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July 28, 2005
Date of Signature

| Form PTO-1449  <small>use several sheets if necessary)</small> | | | | | Atty Docket No. | | Serial No. | |
|---|----|--|-----------------|--------------------|-----------------|----------|----------------------------|--------------------|
| | | | | | P-4174 | | 09/661,684 | |
| | | | | | Applicant(s) | | Judith E. Schwabe | |
| | | | | | Filing Date | | Group | September 14, 2000 |
| U. S. PATENT DOCUMENTS | | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | |
| | AA | 5,907,704 | 05/1999 | Gudmundson et al. | 717 | 100 | | |
| | AB | 6,427,227 | 07/2002 | Chamberlain | 717 | 124 | | |
| | AC | 6,434,744 | 08/2002 | Chamberlain et al. | 717 | 168 | | |
| | AD | 6,363,488 | 03/2002 | Ginter et al. | 713 | 201 | | |
| | AE | 5,748,980 | 05/1998 | Lipe et al. | 710 | 8 | | |
| | AF | 5,724,272 | 03/1998 | Mitchell et al. | 702 | 123 | | |
| | AG | 6,748,555 | 06/2004 | Teegan et al. | 714 | 38 | | |
| | AH | 6,742,177 | 05/2004 | Dorak et al. | 717 | 124 | | |
| | AI | 6,721,941 | 04/2004 | Morshed et al. | 717 | 127 | | |
| | AJ | 6,708,324 | 03/2004 | Solloway et al. | 717 | 124 | | |
| | AK | | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | | |
| | | | | | | | Translation | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | YES | NO |
| | AL | | | | | | | |
| | AM | | | | | | | |
| | AN | | | | | | | |
| | AO | | | | | | | |
| | AP | | | | | | | |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | |
| | AR | Czajkowski, Grzegorz et al., "JRes: A Resource Accounting Interface for Java", pages 21-35, ACM, Vancouver, B.C., 1998. | | | | | | |
| | AS | Ostroff, Jonathan S., "Automated Modular Specification and Verification of Real-Time Reactive Systems", pages 108-121, IEEE, 1995. | | | | | | |
| | AT | Abdulla, Parosh et al., "Verifying Programs with Unreliable Channels", pages 160-170, IEEE, 1993. | | | | | | |
| Examiner | | | Date Considered | | | | | |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s). | | | | | | | | |